FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number:

er: 3235-0287

Expires:

Estimated average burden

hours per response: 0.5

Name and Address of Reporting Person*  Corwin Jonathan C.							2. Issuer N Global Wa	Name <b>and</b> ater Reso	l Ticker urces, Ir	or Tr	ading	Symbol ]				ationship of k all applica Director		Person(s) to	Owner
(Last) (First) (Middle) C/O Global Water Resources, Inc. 21410 N 19th Avenue #220							3. Date of 06/30/2021		ransac	tion (	Month	/Day/Year	)		X ,	Officer (gives) below) Vice Presider			r (specify
(Street) Phoenix AZ 85027 (City) (State) (Zip)							4. If Amen	ndment, D	ate of C	Prigina	al Filed	d (Month/[	Day/Year)		6. Indi Line) X	Form filed	by One R	Filing (Check eporting Pers nan One Rep	
(City)	(Stat	. <del>c</del> )	(21)		Γable I - N	lon-E	Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)					Т	2. Transaction Date (Month/Day/Year)  2. A. Deemed Execution Date, if any (Month/Day/Year)		Transaction D		4. S	I. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Beneficially Owned		For (D) Ind	irect (I)	7. Nature of Indirect Beneficial Ownership	
								Code	v	Aı	mount	(A) or (D)	Price	Re Tr	ollowing eported ansaction( astr. 3 and	s)	str. 4)	(Instr. 4)	
Common Stock							06/30/2021		M	M 55 A \$0.0		\$0 <sup>(1)</sup>		19,238		D			
Common Stock						06/30/2021	)21			219		A	\$0 <sup>(2)</sup>		19,457		D		
Common Stock						06/30/2021		D	D 274 D \$1		\$16.73 (3)		19,183	19,183					
					Table II		rivative Se g., puts, ca							y Owne	d				
1. Title of Deriv Security (Instr		2. Conversion or Exercise Price of Derivative Security	xercise e of vative	3A. Deemed Execution Date, if an information (Month/Day/Year)	Transaction Code (Instr. 8)  Deriv Securion Acquired or Dis		rities fred (A) sposed of istr. 3, 4	Exerc Expir (Mon	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Inst and 4)			8. Price of Derivative Security (Instr. 5)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
Code		v	(A)	(D)	Dat Exercis		Expiration Date	т	itle	Amo OI Num of Sh	r iber								

Restricted Stock Units	(1)	06/30/2021	Α	2		(4)	(4)	Common Stock	2	\$0	<b>570</b> <sup>(5)</sup>	D	
Restricted Stock Units	(1)	06/30/2021	M		55	(6)	(6)	Common Stock	55	\$0	515 <sup>(5)</sup>	D	
Phantom Stock Unit	(2)	06/30/2021	M		219	(7)	(7)	Common Stock	219	\$0	<b>7</b> 80 <sup>(5)</sup>	D	

## **Explanation of Responses:**

- 1. Each restricted stock unit ("RSU") is the economic equivalent to one share of common stock of Global Water Resources, Inc. ("the Company").
- 2. Each phantom stock unit ("PSU") is the economic equivalent of one share of common stock of Global Water Resources, Inc. ("the Company").
- 3. The exercise price was determined by taking the weighted average closing share price of the Company's common stock of the five day period prior to June 30, 2021.
- 4. The RSUs are fully vested upon grant and immediately exercisable. The RSUs do not have a set expiration date.
- 5. The total includes prior grants that have different vesting and expiration dates, as previously reported by the reporting person.
- 6. The RSUs are exercisable at time of vesting and do not have a set expiration date.
- 7. The PSUs are exercisable at time of vesting and do not have a set expiration date.

/s/ Heather Krupa, attorney-in-fact 07/02/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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